

# 永豐證券投資顧問股份有限公司 函



地址：台北市重慶南路一段2號20樓  
聯絡電話：(02)2316-5557  
聯絡人：林芷群

受文者：境外基金銷售機構

發文日期：中華民國110年06月02日

發文字號：永豐顧字第1100016號

附件：致股東通知函-中英文版本

主旨：謹通知本公司總代理之羅素亞太(日本除外)基金(下稱本基金)「關閉事宜-更正「最後贖回及轉出日」」，詳如說明。

說明：

- 一、依據境外基金管理辦法第12條第6項第1款規定辦理。
- 二、本基金預期會有大量贖回以致剩餘資產將處於較低水平，繼續持有本基金之股東將無法透過其對本基金之投資而實現規模經濟，本基金之管理公司-羅素投資將依公司章程第13條(b)董事會決議關閉此檔基金，致股東通知函所載日期起本基金不再接受新申購及轉入交易，此項關閉預計於2021年6月18日生效。
- 三、本基金所稱之在台銷售類股如下：

中文名稱	ISIN CODE	類股
羅素亞太(日本除外)基金	IE0003508359	B類股
羅素亞太(日本除外)基金-TDB收益類別(美元)	IE00B9LCLS21	TDB收益類別
- 四、本基金清算與相關交易之重要說明如下：
  1. 最後申購日：致股東通知函所載日期起，不再接受新申購、轉入及定時定額交易。
  2. 更正最後贖回及轉出日：投資人最晚須於2021年6月11日(含)交易截止時間前辦理贖回或轉出，贖回款項仍將匯入指定帳戶。
  3. 若投資人未能於2021年6月11日(含)完成最後贖回或轉出者，則基金公司將於2021年6月18日(基金關閉日)依程序進行清算作業。
  4. 與關閉本基金有關之所有成本已經確定並已計入本基金之每股資產淨值。
- 五、前揭本公司於110年5月31日永豐顧字第1100015號函所示之「最後贖回及轉出日為2021年6月10日(含)」更正為2021年6月11日(含)」，造成不便，敬請見諒！
- 六、本基金關閉事宜待主管機關核准后，核准函將另行通知！如有相關問題歡迎致電總代理人永豐投顧。

正本：永豐商業銀行股份有限公司理財商品部、花旗(台灣)商業銀行股份有限公司、華南商業銀行股份有限公司、國泰世華商業銀行股份有限公司、台中商業銀行股份有限公司、元大商業銀行股份有限公司、凱基商業銀行股份有限公司、永豐金證券股份有限公司、元大證券股份有限公司、群益金鼎證券股份有限公司、統一綜合證券股份有限公司、中租證券投資顧問股份有限公司、鉅亨證券投資顧問股份有限公司、容海國際證券投資顧問股份有限公司、安睿宏觀證券投資顧問股份有限公司、基富通證券股份有限公司、安聯人壽保險股份有限公司、宏泰人壽保險股份有限公司

總經理

李學詩



羅素投資基金系列  
78 Sir John Rogerson's Quay  
Dublin 2  
Ireland

(中譯文)

2021年5月28日

羅素亞太（日本除外）基金（下稱「本基金」）之關閉，本基金係羅素投資基金系列旗下一檔子基金，羅素投資基金系列則是依愛爾蘭法律成立之可變資本投資公司且為子基金間負債分離之傘型基金（下稱「本公司」）

除文義另有所指外，本函中經定義之詞彙均具有本公司公開說明書所賦予之含義。

親愛的股東，您好：

## 1. 引言

謹此致函通知 台端，依本公司章程（下稱「章程」）第13 (b)條之規定，本公司董事會（下稱「董事會」）已決定關閉本基金。

## 2. 緣由及程序

作成關閉本基金決定之依據是，由於預期會有大量贖回請求，本基金剩餘資產將處於相對較低之水平，因此，繼續持有本基金之股東將無法透過其對本基金之投資而實現規模經濟。職是之故，董事會認為關閉本基金乃符合本公司之最佳利益。該等關閉將依據章程及本公司公開說明書所載程序為之。

章程第13 (b)條規定允許本公司得在給予股東不少於二十一（21）天之書面通知後，買回本基金之所有股份。本公司將據此於2021年6月18日（下稱「關閉日」）強制買回本基金之所有在外流通股份。

請注意，在2021年6月11日最後交易日以後至關閉日（該日期將僅被視為轉換或贖回交易指示之交易日）之期間，本公司將不再受理贖回。這是為了讓管理機構有充足之時間來安排資金管理人之終止並依序了結本基金現務。茲提醒股東應於五個營業日以前提交符合規定之交易表格。董事會已依章程第8 (f)條規定行使其審酌權，並將自本函所載日期起停止發行子基金股份。

自即日起至2021年6月10日止，台端可考慮將持股轉換為另一檔羅素投資基金。在進行轉換前，台端應諮詢您平時之羅素投資聯絡人。台端亦可考慮在2021年6月11日以前按公開說明書所載之通常方式贖回 台端之持股。與關閉本基金有關之所有成本已經確定並已計入本基金之每股資產淨值。

於愛爾蘭註冊且子基金間負債分離之傘型基金

公司註冊編號: 334632 登記營業處: 見上文

董事: J. Firn (美國及英國); P. Gonella (英國); N. Jenkins (英國); J. McMurray (美國); T. Murray;  
W. Roberts (英國); D. Shubotham; J. Linhares (美國)

羅素投資基金系列  
78 Sir John Rogerson's Quay  
Dublin 2  
Ireland

### 3. 對於投資目標與政策之預期偏離

如 台端所知，本基金之投資目標係透過將至少70%之資產投資於亞太（日本除外）公司之股票及類似於股票之投資，以尋求達成 台端投資之長期增長。

請注意，於關閉日以前之了結現務階段，本基金在遵守其投資政策方面可能受到限制。因此，本基金可能會維持大量現金及期貨合約之配置，以利依序了結本基金現務。

職是之故，在關閉日以前，股東可能會面臨不同類型之風險，所獲得之報酬亦可能與本來或以前所獲得者有所不同。

股東可向本公司登記營業處及／或本公司辦理註冊登記國家之當地代表，包括設址於 Niederlassung Deutschland, Taunus Tor 1, D-60310 Frankfurt am Main之德國資訊代理機構—Russell Investments Limited，免費索取公開說明書、關鍵投資人資訊文件、最近期之年報及半年報以及組織大綱暨章程影本。

台端如對本基金關閉事宜有任何問題者，請與您平時之羅素投資聯絡人聯繫。

您誠摯地

\_\_\_\_\_( 簽 名 )\_\_\_\_\_  
董事  
代表羅素（愛爾蘭）投資公司

Russell Investment Company p.l.c.  
78 Sir John Rogerson's Quay  
Dublin 2  
Ireland

28 May 2021

**Closure of Russell Investments Asia Pacific ex Japan Fund (the "Fund"), a sub-fund of Russell Investment Company p.l.c., an investment company with variable capital and an umbrella fund with segregated liability between sub-funds established under the laws of Ireland (the "Company")**

**Unless the context otherwise requires, all defined terms contained in this letter shall have the meanings assigned to them in the Prospectus for the Company.**

Dear Shareholder

## 1. Introduction

We are writing to notify you that pursuant to Article 13 (b) of the articles of association of the Company (the "**Articles**"), the board of directors of the Company (the "**Directors**") have decided to close the Fund.

## 2. Rationale and Procedure

The decision to close the Fund has been made on the basis that, in anticipation of a large redemption request, the remaining assets in the Fund will be at a relatively low level and, accordingly, remaining Shareholders will not achieve economies of scale by virtue of their investment in the Fund. Consequently, the Directors have determined that it is in the best interests of the Company to close the Fund. Such closure will be made in accordance with the procedure set out in the Articles and the Prospectus of the Company.

Article 13 (b) of the Articles permits the Company to repurchase all Shares in the Fund by giving not less than twenty one (21) days' written notice to Shareholders. On this basis, all outstanding Shares in the Fund will be compulsorily repurchased on 18 June 2021 (the "**Closure Date**").

Please note that the Company will not accept redemptions after the last dealing day on the 11 June 2021 until the Closure Date which will be deemed a dealing day for exchange or redemption trade instructions only. This is to provide the Manager with sufficient time to arrange for the termination of Money Managers and the orderly wind down of the Fund. Shareholders are reminded to submit in good order trade forms 5 business days before they are required. The Directors have exercised their discretion pursuant to 8 (f) of the Articles and will cease to offer shares in the sub fund from the date of this letter.

From now until 10 June 2021, you may wish to consider switching into another Russell Investments fund. Before switching, you should consult your usual Russell Investments contact. Alternatively, you may wish to redeem your shareholding prior to 11 June 2021, in the usual manner provided for in the Prospectus. The costs associated with the closure of the Fund have already been determined and taken into account in the Net Asset Value per Share of the Fund.

Registered in Ireland as an umbrella fund with segregated liability between sub-funds.  
Company Registration No. 334632. Registered Office as above.  
Directors: J. Firn (U.S. and U.K.); P. Gonella (U.K.); N. Jenkins (U.K.); J. McMurray (U.S.); T Murray;  
W. Roberts (U.K.); D. Shubotham; J. Linhares (U.S.).

### 3. Expected divergence from investment objective and policies

As you will be aware, the Fund's investment objective is to seek to achieve long term growth on your investment by investing at least 70% of its assets in the equities and investments similar to equities of Asia Pacific (excluding Japan) companies.

During the wind-down phase until the Closure Date, please be advised that the Fund may be constrained in complying with its investment policies. Accordingly, the Fund may maintain a large allocation to cash and futures contracts to facilitate the orderly wind down of the Fund.

Consequently, until the Closure Date, Shareholders may be exposed to different types of risk and may receive a return that is different to the return that would have otherwise or previously been received.

Shareholders may obtain the Prospectus, the key investor information documents, the latest annual and semi-annual reports together with copies of the memorandum and articles of association free of charge from the registered office of the Company and/or the local representatives in each of the countries where the Company is registered including from the German Information agent, Russell Investments Limited, Niederlassung Deutschland, Taunus Tor 1, D-60310 Frankfurt am Main.

If you have any questions relating to the closure of the Fund you should speak with your usual Russell Investments contact.

Yours sincerely

A handwritten signature in black ink, appearing to be a stylized name, possibly 'M. G. H.', written over a horizontal line.

Director  
for and on behalf of  
**Russell Investments Ireland Limited**